Attorney's Docket No. 032405-0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Stephen A. Yencho, et al.

Application No.: 09/687,216

Filed: October 12, 2000

IMPLANTABLE SUPERELASTIC For:

ANASTOMOSIS DEVICE



Group Art Unit: Unassigned

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

[X]	No additional	fee	for	submission	of	an	IDS	is	rec	quir	ec	1.	
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- The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed. []
- A certification under 37 C.F.R. § 1.97(e) is also enclosed. []
- A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth [] in 37 C.F.R. § 1.17(p) are also enclosed.
- Charge \$_____ to Deposit Account No. 02-4800 for the fee due. []
- A check in the amount of \$_____ is enclosed for the fee due. []

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Registration No. 38,699

P.O. Box 1404 Alexandria, Virginia 22313-1404 (650) 622-2300

Date: February 26, 2001

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Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, hereby the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

U.S. Patent Documents	<u>Date</u>	<u>Name</u>
3,254,650	06/07/66	Collito
3,519,187	07/07/70	Kapitanov, et al.
3,774,615	11/27/73	Lim, et al.
4,214,587	07/29/80	Sakura, Jr.
4,350,160	09/21/82	Kolesov, et al.
4,352,358	10/05/82	Angelchik
4,366,819	01/04/83	Kaster
4,368,736	01/18/83	Kaster
4,503,568	03/12/85	Madras
4,523,592	06/18/85	Daniel
4,553,542	11/19/85	Schenck, et al.
4,589,416	05/20/86	Green

U.S. Patent Documents	Date	<u>Name</u>
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4,607,637	08/26/86	Berggren, et al.
4,624,255	11/25/86	Schenck, et al.
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5,522,834	06/04/96	Fonger, et al.

Information Disclosure Statement
Application No. 09/687,216
Attorney's Docket No. 032405-018
Page 3

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5,556,405	09/17/96	Lary
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Information Disclosure Statement Application No. 09/687,216 Attorney's Docket No. <u>032405-018</u> Page 4

II C. Potent Doguments	<u>Date</u>	<u>Name</u>
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6,113,612		Swanson, et al.
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6,165,185	12/26/00	Shennib, et al.
		
Foreign Patent Documents	<u>Date</u>	Country
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WO 00/09040	02/24/00	PCT
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297 13 335.7	11/27/97	Germany

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Cindy A Lynch

Registration No. 38,699

P.O. Box 1404 Alexandria, Virginia 22313-1404 (650) 622-2300

Date: February 26, 2001

SHEET 1 OF 5

INFORMATION DISCLOSURE CITATION				ATTORNEY'S DKT N 032405-018 APPLICANT Stephen A. Yench FILING DATE October 12, 2000	o, et al.	GROUP	
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INITIALS	3,254,650	06/07/66	Collito	NAME	CLASS	SUBCLASS	
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	4,214,587	07/29/80	Sakura, Jr.				
	4,350,160	09/21/82	Kolesov, et	al.			. ,
	4,352,358	10/05/82	Angelchik				
·	4,366,819	01/04/83	Kaster				
***	4,368,736	01/18/83	Kaster				
Aut.	4,503,568	03/12/85	Madras				V
•	4,523,592	06/18/85	Daniel				1
	4,553,542	11/19/85	Schenck, et	al.	/		
	4,589,416	05/20/86	Green				
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	4,524,255	11/25/86	Schenck, et	al.			
	4,624,257	11/25/86	Berggren, et	al.			
**	4,657,019	04/14/87	Walsh, et al	•			
	4,665,906	05/19/87	Jervis				
	4,747,407	05/31/88	Liu, et al.	1000_1000			
	4,907,591	03/13/90	Vasconcello	s, et al.			
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		FOR	EIGN PATENT	T DOCUMENTS			
EXAMINER'S INITIALS	PATENT NO.	DATE	C	COUNTRY	CLASS	SUBCLASS	Translation Yes No
	OTHER DOC	UMENTS (In	cluding Autho	or, Title, Date, Perti	nent Pag	es, Etc.)	
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ATTORNEY'S DKT NO.
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APPLICATION NO.
09/68/,216

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			U.S. PATENT DOC	UMENTS		· ·	EMARK C	IEFIC
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME		CLASS	SUBCLASS	FILING	DAT
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<u> </u>	5,119,983	06/09/92	Green, et al.					
	5,171,262	12/15/92	MacGregor					
	5,217,474	06/08/93	Zacca, et al.	-				
	5,234,447	08/10/93	Kaster, et al.					
	5,250,060	10/05/93	Carbo, et al.					$\overline{}$
	5,304,220	04/19/94	Maginot	- 111				
	5,314,468	05/24/94	Ramos Martinez				h_	7
	5,336,233	08/09/94	Chen	\).	A	1	
	5,354,302	10/11/94	Ko (
	5,366,462	11/22/94	Kaster, et al.				\prod	-
	5,395,311	03/07/95	Andrews				V	
	5,443,497	08/22/95	Venbrux					
	5,456,712	10/10/95	Maginot					
W-	5,456,714	10/10/95	Owen					
	5,464,449	11/07/95	Ryan, et al.					
	5,478,354	12/26/95	Tovey, et al.					
	5,522,834	06/04/96	Fonger, et al.					
	5,556,405	09/17/96	Lary					
****	5,558,667	09/24/96	Yarborough, et al	l.				
<u>".</u>	5,571,167	11/05/96	Maginot					
	5,643,340	07/01/97	Nunokawa					
		FC	REIGN PATENT D	OCUMENTS		_		
EXAMINER'S	DATELIT NO	DATE	COUNT	· DV	CLVES	SUBCI ASS		lation No
EXAMINER'S INITIALS	PATENT NO.	FC DATE	DREIGN PATEN	OUNT	OUNTRY	OUNTRY CLASS	OUNTRY CLASS SUBCLASS	OUNTRY CLASS SUBCLASS Yes
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SHEET 3 OF 5 APPLICATION NO ATTORNEY'S DKT NO. 0/8/687,216 032405-018 INFORMATION DISCLOSURE FEB ? 7 2001 **APPLICANT CITATION** Stephen A. Yencho, et al. FILING DATE signed October 12, 2000 PTO-1449 DEMARK ! **U.S. PATENT DOCUMENTS** FILING DATE **EXAMINER'S** PATENT NO. DATE NAME **CLASS SUBCLASS** INITIALS 5,669,918 09/23/97 Balazs, et al. 5,676,670 10/14/97 Kim 5,693,088 12/02/97 Lazarus 5,695,504 Gifford, III, et al. 12/09/97 5,702,412 12/30/97 Popov, et al. 5,707,362 01/13/98 Yoon 01/13/98 Hinchliffe, et al. 5,707,380 5,709,335 01/20/98 Heck 5,709,693 01/20/98 Taylor 5,725,544 03/10/98 Rygaard 03/10/98 Moenning 5,725,553 03/31/98 Bolduc, et al. 5,732,872 5,797,920 08/25/98 Kim 09/29/88 Bonutti 5,814,073 Gifford, III, et al. 10/06/98 5,817,113 5,881,943 03/16/99 Heck, et al. 5,893,369 04/13/99 LeMole Gifford, III, et al. 5,904,697 05/18/99 07/13/99 Kleshinski 5,921,995 08/31/99 Nobles, et al. 5,944,730 5,947,363 09/07/99 Bolduc, et al. 09/28/99 Heck 5,957,363 5,976,159 11/02/99 Bolduc, et al. **FOREIGN PATENT DOCUMENTS** Translation **EXAMINER'S** CLASS **SUBCLASS** PATENT NO. DATE COUNTRY No INITIALS OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

DATE CONSIDERED

SHEET 4 OF 5

INFORMATION DISCLOSURE				ATTORNEY'S DKT N 032405-018	APPLICATION NO. 09/687,216 /			
INFO	CITAT		SURE	APPLICANT Stephen A. Yench	E FEB 2	M	\sum_{i}	
				FILING DATE October 12, 2000		G BOUP	2001	
	PTO-1449 U.S. PATENT				<u>'</u>	Unassigned		/
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INITIALS	PATENT NO.	DATE		NAME	CLASS	SUBCLASS		
	6,007,544	12/28/99	Kim					
	6,022,367	02/08/00	Sherts					
· -	6,113,612	09/05/00	Swanson, et	al.				
	6,152,937	11/28/00	Peterson, et a	al.				
	6,165,185	12/26/00	Shennib, et a	l.				
		FOR	EIGN PATENT	DOCUMENTS				
EXAMINER'S	DATENT NO	DATE	66	DUNTRY	CLASS	SUBCLASS	Trans Yes	lation No
INITIALS	92/08513	05/29/92	PCT	OUNTRY	CLASS	SOBCLASS	165	INO
	97/25002	07/17/97	PCT					· · · · · · · · · · · · · · · · · · ·
	97/27898	08/07/97	PCT	-				
	97/31575	09/04/97	PCT	-				
	98/07399	02/26/98	РСТ		٠,			
	98/19618	05/14/98	PCT		\			
.,	98/19625	05/14/98	PCT					
	98/19629	05/14/98	PCT /			\mathcal{U}		
	98/19630	05/14/98	PCT					
	98/19631	05/14/98	PCT	1				
	98/19632	05/14/98	PCT					
	98/19634	05/14/98	PCT					
	98/19636	05/14/98	PCT					
	98/30153	07/16/98	PCT					
	98/42262	10/01/98	PCT					
	98/47430	10/29/98	PCT					
	98/55027	12/10/98	PCT					
	99/17665	04/15/99	PCT					
	OTHER DOC	UMENTS (In	cluding Author	, Title, Date, Perti	nent Pag	es, Etc.)		
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SHEET 5 OF 5

INFORMATION DISCLOSURE				ATTORNEY'S DKT NO. 032405-018		APPLICATION NO. 0/0			
	CITAT		JUIL	APPLICANT Stephen A. Yencho, et al.					
				FILING DATE		GROUP	20,	0, 5	
	PTO-1	449		October 12, 2000)	Unassigned			
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EXAMINER'S INITIALS	PATENT NO.	DATE	l.	NAME	CLASS	SUBCLASS	FILING		
		FOR	EIGN PATENT	DOCUMENTS					
EXAMINER'S						T	Transl	lation	
INITIALS	PATENT NO.	DATE		DUNTRY	CLASS	SUBCLASS	Yes	No	
!	99/18887	04/22/99	PCT						
	99/21491	05/06/99	PCT						
	99/38441	08/05/99	PCT						
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	99/45848	09/16/99	PCT						
	99/62406	12/09/99	PCT		(1-11			
-	99/62409	12/09/99	PCT						
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	OTHER DOC	UMENTS (Inc	cluding Author	, Title, Date, Perti	nent Pag	es, Etc.)			
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.6,